



Fifth Third
Securities

Take charge of
**your financial
future**



Welcome to navigating your financial life with confidence

Reaching your financial goals is a journey. At Fifth Third Securities, we're here to help make the way forward easier and more rewarding. You'll gain access to a full range of financial products and services—all backed by expert guidance and dedicated support.

So, let's get started. As a new client of ours, you've already taken the first step toward taking charge of your financial future. Now, we can't wait to connect you to the tomorrow you've envisioned.



Receive ongoing support with Life360

Because your financial life is constantly evolving, our support is ongoing. Using our comprehensive Life360 approach and online portal, we can map out a personal financial plan for you—that can change as you move through life.



You'll have access to a wealth of online planning tools that can help you chart a course to a stronger financial future:

- **GAIN A COMPLETE VIEW OF YOUR FINANCES.**

With the Life360 site, you can securely view and track all of your financial information, anytime, anywhere.

- **SAFEGUARD KEY DOCUMENTS.**

Keep important documents safe, secure and always within reach with the Vault, Life360's unlimited digital document storage.

- **PLAN FOR TOMORROW—STARTING TODAY.**

Using Life360, your Fifth Third Securities professional can show how today's financial decisions can impact future goals—and create a plan that meets your evolving needs.

Life360 makes it easier than ever for us to work with you and provide the guidance that can keep you on track and moves you closer to achieving your financial goals.

Comprehensive Financial



Investment Services

We offer a wide range of investment products and services. Your Fifth Third Securities investment professional will be happy to guide you through the process of evaluating and understanding your selections. As our client, you can have as much freedom, or guidance, as you like.

INVESTMENT PRODUCTS

- Stocks
- Bonds
- Mutual Funds
- Exchange Traded Funds (ETFs)
- Annuities
- Options¹
- Unit Investment Trusts (UITs)
- Managed Portfolios²

Services



Retirement Strategies

How do you see your life in retirement? Do you want to travel to exotic corners of the world? Or own a vacation home? Or, perhaps, be in a position to help others?

To fully enjoy all of the opportunities that retirement presents, you must have a strategy. Whether your retirement is a distant dream or quickly approaching, your investment professional can help create a strategy designed to bring your retirement plans to life.

RETIREMENT SERVICES

- Traditional Individual Retirement Accounts (IRAs)
- Roth IRAs
- Rollover IRAs
- Business Retirement Accounts

Including 401(k) accounts, profit sharing, and SEPs (simplified employee pension plans)



Passageway Program

Your situation may call for a more personalized investment strategy. Through the Passageway Managed Account Program², we can create an investment portfolio built around your exact needs, including tax and estate strategies.⁴

Investing in the portfolio is only the beginning of the process. On an ongoing basis, we will work together to monitor your portfolio and make adjustments as circumstances change over time.

MANAGED ACCOUNT OPTIONS

- Active and passively managed portfolios
- Separately managed accounts
- Multi-style portfolios

Services continued on next page. ▶

Comprehensive Financial Services (cont.)



Insurance Strategies

Protecting your family, your business and yourself is an important part of planning your financial future. Our insurance specialists are committed to helping you understand your options, and can work with many leading insurance companies to provide protection that's right for your needs.

INSURANCE STRATEGIES

- Life insurance, long-term care insurance and disability income insurance
- Estate⁴ and wealth transfer planning
- Business succession planning
- Executive benefits strategies that offer multiple tax⁴ advantages
- Charitable gifting solutions through paid life insurance premiums



Education Strategies

With tuition costs continuing to rise, it's more important than ever to plan ahead. If you're saving to send your own child to school, or want to assist grandchildren and other family members, your investment professional can help.

EDUCATION PLANS

- 529 Plans³ provide tax-deferred growth and tax-free distributions⁴
- UGMA/UTMA Custodial Accounts enable you to give financial gifts to minors

FAQs

▶ How do I reach my Fifth Third Securities investment professional?

- You'll find contact information on the insert in the back of this brochure
- Feel free to contact your investment professional any time

▶ How often should I meet with my investment professional?

- You should meet periodically to review your portfolio to determine whether any modifications need to be made
- However, any time your situation changes, you can contact your investment professional

▶ When should I consider modifying my financial plan?

- Any time life circumstances change, such as a new child or employment status, you may have a reason to add or remove certain financial products
- If you ever have any questions or concerns, just contact your investment professional



Stay informed

Visit our Resource Center and tap into a wealth of financial insight, anytime, anywhere.

[53.com/FTSinsights](https://www.53.com/FTSinsights)

- Options trading entails significant risk and is not appropriate for all investors. Prior to trading options, you must receive a copy of “Characteristics and Risks of Standardized Options,” which is available from your Investment Professional, and be approved for options trading.
- Passageway is an investment advisory service offered by Fifth Third Securities. For additional information about the advisory services offered by Fifth Third Securities, please speak with your Investment Professional or ask for a copy of the Firm’s Passageway Managed Account Wrap Fee Program Brochure (ADV Part 2A).
- Distributions from a 529 Plan are free from federal taxes if the distribution is for qualified higher education expenses. *Before investing, investors should consider whether the investor’s or designated beneficiary’s home state offers any state tax or other benefits that are only available for investments in such state’s qualified tuition program. 529 Plans may be subject to certain restrictions. By investing in a Plan outside your state of residence, you may lose any state tax benefits. Non-qualified withdrawals are subject to federal and state income tax and a 10% penalty. Investors should consider the fund’s investment objective, risks, sales charges, expenses, and ongoing fees carefully before investing or sending money. This and other important information about municipal fund securities, including 529 Plans, can be found in the issuer’s official statement. To obtain an official statement, please ask your Investment Professional. Please read the official statement carefully before investing.
- Fifth Third Securities does not provide tax or legal advice. Please consult your tax advisor or attorney before making any decisions or taking any action based on this information.

Fifth Third Securities is the trade name used by Fifth Third Securities, Inc., member FINRA/SIPC, a wholly owned subsidiary of Fifth Third Bank, National Association, a registered broker-dealer and a registered investment advisor registered with the U.S. Securities and Exchange Commission (SEC). Registration does not imply a certain level of skill or training. Securities, investments, and investment advisory services offered through Fifth Third Securities, Inc. and insurance products:

Are Not FDIC Insured	Offer No Bank Guarantee	May Lose Value
Are Not Insured By Any Federal Government Agency		Are Not a Deposit

Insurance products made available through Fifth Third Insurance Agency, Inc. Insurance products are not offered in all states. Please consult with a Fifth Third insurance professional.



[53.com/securities](https://www.53.com/securities)